

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Busby, et al

Serial No.:

09/800,863

Filed:

March 7, 2001

Entitled:

Methods for Improving Secondary

Metabolite Production in Fungi

Attorney Docket No.: 109272.148

Assistant Commissioner of Patents

Washington, D.C. 20231

Examiner: TBA

Group Art Unit: TBA

CERTIFICATE OF FIRST CLASS MAILING UNDER 37 CFR § 1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as First Class Mail in an envelope addressed to Assistant Commissioner of Patents, Washington, D.C. 20231 on the date indicated below.

Date: \uno 11 2001

Patricia Corrigan

INFORMATION DISCLOSURE STATEMENT

Dear Sir:

Pursuant to 37 C.F.R. §§ 1.56 and 1.97(b), Applicant brings to the attention of the Examiner the following documents listed on the attached Form PTO-1449. This Information Disclosure Statement is being filed before the mailing date of a first Office Action on the merits and is therefore submitted as both timely and proper.

Applicant states under 37 C.F.R. §1.97(e)(1) that some of the publications were cited in an International Search Report issued in connection with a counterpart Patent Cooperation Treaty application. Also enclosed is a copy of the Search Report, mailed April 4, 2001, for the Examiner's review.

U.S. Serial No. 09/800,863 Attorney Docket Number 109272.148 Filed: March 7, 2001

It is respectfully requested that the information above be expressly considered during the prosecution of this application and that the publication be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

This submission does not constitute a representation by Applicant that a search has been made or that no better art exists and does not constitute an admission that any of the listed documents are material or constitute "prior art." Applicant reserves the right to take appropriate action to establish the patentability of the disclosed invention over the listed documents, should one or more of the documents be applied against the claims of the present application.

Copies of the documents listed on the attached Form PTO-1449 are submitted herewith. It is respectfully requested that the Examiner initial and return a copy of the enclosed PTO-1449 with the next Patent Office communication.

No fees are believed due. If however a fee is due or a credit owed, please make it to our Deposit Account No. 08-0219.

Respectfully submitted,

Date: 11 Inne 2001

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